**FORM-2**

**Export Control Classification Declaration**

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| **SUPPLIER LOGO/STAMP REQUIRED HERE**  ***C | A | U*** |

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| **RFQ/RFP/RFI or Purchase Order No.** (if applicable):N/A |

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| **SECTION 1 – DETAILS OF THE SUPPLIER** (Please complete all boxes) |
| |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | Name: | Institute of Experimental and Applied Physics – Christian-Albrechts-Universität zu Kiel | | | | | | Address: | Leibnizstr. 11 | | | | | | City/State: | Kiel | Postal/Zip Code: | 24118 | Country: | Germany | |
| Name of person responsible for the completion of this Declaration: César Martín  Position: EPD/Kiel Project Manager Email: martin@physik.uni-kiel.de Tel.: +49 431 8802491 |

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| **SECTION 2 – ITEM/COMMODITY AND SERVICE IDENTIFICATION** |
| **A)** |
| |  |  |  |  |  | | --- | --- | --- | --- | --- | | Item/commodity or service | Airbus Group Part # | Supplier Part # | Name and address of manufacturer/manufacturing country (if different from supplier) | Allocation of item/commodity or service | | SOLO EPD STEP FM |  |  |  |  |   **(Use Annex if necessary for additional information)** |
| **B)**   |  | | --- | | Is the delivery of the item/commodity or the provision of the service to Airbus Group and/or the possible re-export  or re-transfer subject to any export control or foreign trade related legal restrictions? | | Y  N |   **(Use Annex if necessary for additional information)** |
| **C)**   |  | | --- | | Is the item/commodity designed, developed or modified due to Airbus Group specifications? If `YES´ please indicate  the Airbus Group delivery specification number. | | Y  N  Specification number: |   **(Use Annex if necessary for additional information)** |

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| **TO BE COMPLETED BY NON-U.S. SUPPLIERS** |

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| **SECTION 3 – NATIONAL EXPORT CONTROL REQUIREMENTS (OTHER THAN U.S)** (Enter whether the export/transfer  of the item/commodity or the provision of the service is subject to any national trade or export control requirements of the country from which you intend to export/transfer/provide.) |
| Do trade or export control laws and regulations apply to the export/transfer of the item/commodity or the provision of the service?  Y  N  If yes, please provide the following information for the item/commodity or service:   |  |  |  | | --- | --- | --- | | Initially developed/designed for a military application? | Military list classification number and classification list identification | Dual-use list classification number and classification list identification | | Y  N |  |  |   **(Use Annex if necessary for additional information)** |

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| **TO BE COMPLETED BY ALL U.S. SUPPLIERS OR BY ALL NON-U.S. SUPPLIERS OF U.S. ORIGIN ITEMS/COMMODITIES** |

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| **SECTION 4 – U.S. CLASSIFICATION OF ITEM/COMMODITY** (State whether the intended export/transfer is subject to the International Traffic in Arms Regulations, “ITAR” or the Export Administration Regulations, “EAR”.) |
| 1. Is the item/commodity positively listed on the US Munitions List (USML) of the International Traffic in Arms Regulations (ITAR)?   Y  N    If yes, please provide the following information for the item/commodity:   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | Model or NSN | Initially developed for a military application? | USML Category and sub paragraph | SME  ITAR 120.7 | MDE  ITAR 120.8 | Classified technical data/defense articles | |  | Y  N |  | Y  N | Y  N | Y  N |   **(Use Annex if necessary for additional information**) |
| 1. Is the items/commodity subject to the U.S. Export Administration Regulations (EAR)?   Y  N  If yes, please provide the following information for the item/commodity:   |  |  |  | | --- | --- | --- | | Model or NSN | CCL ECCN | CJ/CCATS Number, or Self-Classified (if result of ECR please complete SECTION 6) | |  |  |  |   **(Use Annex if necessary for additional information**) |

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| **SECTION 5 – U.S. COMPONENTS/CONTENT OF ITEM/COMMODITY** (List the U.S. components/content embedded  in the item/commodity. In particular indicate U.S. component/content of the item/commodity which is classified under ECCN 500/600 series.) |
| |  |  |  |  |  | | --- | --- | --- | --- | --- | | Description of the component/content (Type/Part #) | Manufacturer of the component/content | Quantity | % value of EAR content | Classification number of component/content ITAR or EAR | | QT188LD10S-48.000MHz | Q-Tech Corporation | 1 |  | ITAR | | QT188HCD10S-2.000MHz | Q-Tech Corporation | 1 |  | ITAR | | 28LV010RPFS-25 FP-32 | Maxwell Technologies | 1 |  | ITAR | | IS2-1009RH-Q | Intersil Corporation | 2 |  | ITAR | | ISL78841ASEHVF FP-8 (5962R0724906VXC) | Intersil Corporation | 1 |  | ITAR | | UT54LVDM055LVUCC FP-18 (5962R0620201VXC) | Aeroflex | 2 |  | ITAR | | UT8CR512K32-17VCC (5962R0422701VXC) | Aeroflex | 1 |  | ITAR | | ADC128S102WGRQV (5962R0722701VZA) | National Semiconductor | 2 |  | ITAR | | RH1056AMW | Linear Technology | 2 |  | ITAR | | RH1498MW | Linear Technology | 7 |  | ITAR | | MSK5059KRHG | M.S. Kennedy Corporation | 4 |  | ITAR | | RTAX2000SL-CQ256E  (5962-0422107QUC) | Actel Corporation | 1 | 1 | EAR | | JANSR2N7616UB | International Rectifier | 5 | 3 | EAR | | JANSR2N7626UB | International Rectifier | 3 | 2 | EAR | | Black Kapton MLI | Sheldahl | 1 |  | ITAR | |  |  |  |  |  | |  |  |  |  |  | |  |  |  |  |  |   **(Use Annex if necessary for additional components/content**) |

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| **TO BE COMPLETED BY NON-U.S. SUPPLIERS WHOSE ITEMS/COMMODITIES INCORPORATE U.S. COMPONENTS/CONTENT** |

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| **SECTION 6 – CLASSIFICATION CHANGES** (State whether the item/commodity and/or the components/content were impacted by classification changes in the past. Give the classification numbers prior to such changes.) |
| Were the item/commodity and/or the components/content subject to classification changes?  Y  N  If yes, please provide the following information for the item/commodity and/or component/content:   |  |  |  | | --- | --- | --- | | Item/commodity or component/content  (Type/Part #) | Prior classification list number and name of classification list | Date of change of classification | |  |  |  |   **(Use Annex if necessary for additional information**) |

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| **SECTION 7 – THIRD COUNTRY REQUIREMENTS** (Indicate whether the re-export/re-transfer of the item/commodity,  of embedded components/content or of corresponding services by Airbus Group is subject to limitations imposed by the country from which the item/commodity, the components/content - including hardware, software or technology - or corresponding services , was/were provided to you.) |
| Is the re-export or re-transfer of the item/commodity, of components/content or of the services subject to applicable foreign trade or export control laws and regulations of a third country (= other than supplier's country or the U.S.)?  Y  N  If yes, specify the following:   |  |  |  | | --- | --- | --- | | Item/commodity, component/content or service  (Type/Part #) | Quantity | Imposed restriction/legal basis | |  |  |  |   **(Use Annex if necessary for additional information**) |

**I hereby certify that the information provided herein is true, complete, and accurate.**

Where the export, re-export, transfer or re-transfer of the items/commodities or services, by Airbus Group or any of its customers, is subject to an export license, the issuance of such license shall be a condition precedent to this purchase order coming into force. I shall provide Airbus Group with a copy of any such license upon receipt. In the event that such license would be revoked, not renewed, invalidated, or otherwise not be effective for any reason, Airbus Group shall be advised immediately.

I shall inform Airbus Group, in writing, as soon as I will become aware of any change that may affect export control classification of the item/commodity described hereinbefore.

I am aware that prior to a purchase order coming into force, this “Export Control Classification Declaration” is to be filled out and returned to Airbus Group.

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| 18/05/2016 | Kiel | University of Kiel | César Martín |
| Date | Location | IEAP/ET | Signature & Seal (if available) |
| (DD/MM/YY) |  | Name and Title (supplier) | of empowered Official |

**The guidance may be submitted to suppliers.   
It is available at** [**http://www.airbus-group.com/airbusgroup/int/en/our-company/Our-suppliers.html**](http://www.airbus-group.com/airbusgroup/int/en/our-company/Our-suppliers.html)

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| **GUIDANCE FOR COMPLETION OF THE EXPORT CONTROL CLASSIFICATION DECLARATION** |

**GENERAL REMARKS**

Form-2 of the Airbus Group Procurement Directive forms part of the Purchase Agreement between suppliers and Airbus Group, its Divisions, Business Units/Lines and Subsidiaries (hereinafter referred to as “Airbus Group”). It is binding upon the suppliers.

Suppliers must submit paper and/or electronic copies of the documents to Airbus Group. Improperly filled documents will be returned for modification/completion. The document must be duly signed and stamped by the suppliers.

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| **SECTION 1**  Ensure that the supplier’s legal name and address details  are provided. If your company uses an abbreviated form  (e.g. ABC Ltd.), enter the full name.  Include the name and email address of the individual responsible for the completion and the forwarding of the Declaration in case clarification of any details given is required.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **SECTION 2**  A) Name the item/commodity or service you intend to provide. Describe the service and indicate any relevance to export control matters (i.e.: access of service provider on classified data).  The term “item/commodity” covers hardware, software and technology (i.e.: entire products, specially designed parts or components). It includes “Defence Articles” pursuant to International Traffic and Arms Regulations 120.6, “commodities” pursuant to Export Administration Regulations 772.1, and “items” pursuant to the EC Regulation 428/2009 (Dual-use Regulation).  “Service” means intangible products such as training, technical support or provision of expertise. The term “service” comprises, but is not limited to, any services pursuant to ITAR 120.9 and “technology” as defined by EAR 772.1.  Include (if available) the Airbus Group and/or the supplier part number.  If different from the supplier, provide the legal name and address details of the manufacturer of the item/commodity.  Describe the intended allocation (if available) of the item/commodity or service (i.e.: to be used in Platform xy).  B) Indicate whether the delivery of the item/commodity or the provision of the service to Airbus Group and the possible re-export or re-transfer is subject to any export control or foreign trade related legal restrictions? If `yes´, further information must be given. If `no´, the document must be signed and submitted back to Airbus Group. It is not required to fill out the other Sections of this document.  C) Give the Airbus Group specification number (if applicable), if you deliver an item/commodity that is specially designed, developed or modified for Airbus Group. | | **SECTION 3**  Enter whether the item/commodity or service you intend to provide is subject to export control or foreign trade related requirements in the country from which you intend to export/transfer.  Specify whether the item/commodity was originally developed/designed for a military application. Note that items/commodities subject to export control or foreign trade related requirements can generally be considered as defence/military or dual use.  Items/commodities may be included in export control lists (so called classification lists) of the country from which the supply shall be undertaken. Enter the relevant classification list number.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **SECTION 4**  Indicate whether you intend to carry out an export/transfer that is subject to U.S. export control laws and regulations (International Traffic in Arms Regulations, “ITAR” or Export Administration Regulations, “EAR”).  A) Enter whether the ITAR applies to the export/transfer, and if so, give the model or the National Stock Number (NSN) of the item/commodity (if available), indicate whether it was developed/designed for a military application. Enter the correct U.S. Munitions List (USML) Category (including sub paragraphs) and specify if the item/commodity is determined as Significant Military Equipment (SME) pursuant to ITAR 120.7, as Major Defense Equipment (MDE) pursuant to ITAR 120.8 or as Classified Technical Data/Defense Article (ITAR 125.3).  B) Enter whether your export/transfer is subject to the EAR. If `yes´, include the model or NSN (if available), and the Export Control Classification Number (ECCN) of the Commerce Control List (CCL) (including all sub paragraphs) (Supplement No. 1 to EAR 774). Also indicate (if applicable) the Commodity Jurisdiction (CJ) Determination and/or (if applicable) the number of the Commodity Classification Automated Tracking System (CCATS). Advice whether the item/commodity was self-classified. |
| **SECTION 5**  Indicate whether your item/commodity incorporates components/content that are/is subject to the U.S. export control laws and regulations, even if it falls under the de minimis level of EAR 734.4. Identify U.S. components/content which are/is classified under ECCN 500/600 series.  Give the legal name and address details of the manufacturer as well as the manufacturing country of the components/content and the quantity embedded in the item/commodity.  Enter the U.S. Munitions List (USML) Category (including sub paragraphs) or the ECCN under which the ITAR or EAR components/content are/is classified.  If the components/content are/is subject to EAR, provide the percentage share of the value of the components/content in relation to the value of the item/commodity.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **SECTION 6**  Indicate whether the items/commodities and/or components/content were subject to classification changes. Give the valid and former classification number.  Be aware that there can be different classification numbers for the hardware, the software and the technology of the same product.  If you are unsure whether your item/commodity is defence/military or dual-use, please contact your national export control authority for advice! | **SECTION 7**  Specify whether the re-export/re-transfer of the item/commodity, of the components/content or of the service falls under foreign trade or export control restrictions of a third country.  Third country” means neither the country from which the item/commodity, components/content or service was provided to Airbus Group nor the U.S.  If the restrictions relates to components/content indicate the quantity embedded in the item/commodity  Describe the imposed restriction and give the legal/regulatory basis. | |